

# 36° Convegno Nazionale Riassunti Estesi delle Comunicazioni

A cura di: D. Slejko, A. Riggio, D. Albarello, F. Bianco, N. Creati, D. Di Bucci, M. Dolce, E. Eva, G. Florio, P. Galli, M. Giustiniani, G. Lavecchia, P. Marianelli, L. Martelli, P. Mazzucchelli, G. Naso, F. Pacor, E. Rizzo, L. Sambuelli, G. Valensise

Con la collaborazione di: M. Bobbio e P. Giurco

Copertina: Studio Mark

Foto di copertina: M. Sterle

ISBN: 978-88-940442-8-7

Impaginazione: Luglioprint, Trieste

Stampa: Centro Stampa della Regione Emilia Romagna

Finito di stampare nel mese di novembre 2017

gngts.inogs.it

- Fernández Martínez, J. L., García Gonzalo, E., Fernández Álvarez, J.P., Kuzma, H. A. and Menéndez Pérez, C.O.; 2010: PSO: A powerful algorithm to solve geophysical inverse problems. Journal of Applied Geophysics, 71 (1), 13-25.
- Godio, A., Massarotto, A. and Santilano, A.; 2016: *Particle swarm optimization of electomagnetic soundings*. Proceedings from 22<sup>nd</sup> Near Surface Geoscience EAGE Conference, Barcelona.
- Jones, A.G., Hutton, R.; 1979: A multi-station mgnetotelluric study in Southern Scotland I. Fieldwork, data analysis and results. Geophysical Journal International, 56(2), 329-349.
- Kennedy, J. and Eberhart, R.; 1995: Particle Swarm Optimization. Proceedings of the IEEE International Conference on Neural Networks, IV, 1942-1948.
- Santilano, A.; 2016: Deep geothermal exploration by means of electromagnetic methods: new insights from the Larderello geothermal field (Italy). PhD thesis in Environmental engineering, XXIX cycle, Politecnico di Torino, pp. 242.
- Sen, M. K. and Stoffa, P. L.; 2013: Global Optimization Methods in Geophysical Inversion. Cambridge University Press, Cambridge, pp. 289.
- Shaw, R. and Srivastava, S.; 2007: Particle swarm optimization: A new tool to invert geophysical data. Geophysics, 72 (2), F75-F83.
- Shi, Y. and Eberhart, R.; 1998: A modified particle swarm optimizer. IEEE International Conference on Evolutionary Computation Proceedings, 69-73.
- Zhan, Z.H., Zhang, J., Li, Y., Chung, H.S.H; 2009: *Adaptive Particle Swarm Optimization*. IEEE Transactions On Systems, Man and Cybernetics part B (Cybernetics), **39** (6),1362-1381.

### CONSTRAINING THE CONTINENTAL CRUST RADIOACTIVE HEAT PRODUCTION WITH SATELLITE-DERIVED GRAVITY MODELS: REVISITING THE LINEAR RELATIONSHIP

A. Pastorutti, C. Braitenberg

Dept. of Mathematics and Geosciences, University of Trieste, Italy

**Background.** The resolution of satellite-derived global gravity models (GGMs) is adequate to resolve the mass distribution in the continental crust, the strong density contrast at the crustmantle boundary (CMB), and the undulations of the lithosphere-asthenosphere boundary (LAB). These aspects suggest that GGMs can be promising tools in modelling the deep thermal state of the lithosphere, the heat transfer regimes involved and the heat flow through the Earth surface. The directly measurable near-surface temperature field is largely influenced by ongoing geodynamics and near-surface processes, both of which have shorter characteristic timescales than the one needed by purely conductive thermal diffusion to reach steady-state equilibrium in the lithosphere. Heat flow measurements are also costly, their distribution is often biased towards areas of increased interest (e.g. those with high fluxes, exploited for high-enthalpy geothermal energy) and public access to data is an issue. Collecting and harmonising the published datasets to a common standard is an effort spanning multiple decades (Lee and Uyeda, 1965).

Gravity and geoid anomalies have already been integrated in multi-observable modelling strategies, and show a satisfactory resolving power for investigating the nature of lithospheric inhomogeneities (Fullea *et al.*, 2009). Still, gravity data alone –which has an unmatched global sampling regularity– can already provide estimates independently from other geophysical data, before integration. A relationship between the lithospheric mass distribution (inverted from density contrasts) and models of its thermal state must rely on laws connecting density and thermal parameters (i.e. radioactive heat production, thermal conductivity, boundary conditions), and a set of hypotheses on the heat transport mechanisms involved. A key factor is the radioactive heat production (RHP) occurring in the crystalline continental crust, which exhibits a 50-fold increase against sub-continental mantle content in U, Th, K (Vilà *et al.*, 2010)

#### **GNGTS 2017**

and is a major component of the surface heat flow even when superimposed with concurring near-surface disturbances (Freymark *et al.*, 2015).

Estimating the distribution of these elements occurring throughout the continental crust is not a trivial task, since direct and indirect observations (outcrops, xenoliths, and tomographies, e.g. Rudnick *et al.*, 1998; Jaupart and Mareschal, 2011; Huang *et al.*, 2013) indicate that any simple relationship between crustal thickness and heat production (e.g. Lachenbruch, 1970) is complicated by the large intra-crustal compositional variability. For such reasons stochastic approaches are commonly employed, either exact solutions (Srivastava and Singh, 1998) or random modelling (Jokinen and Kukkonen, 1999), and the results are commonly described with their probability density function.

Apart from parametric uncertainty, the entity and predictability of the relationship between crustal thickness and total heat production is difficult to evaluate on itself, due to aforementioned superposition of effects in the observed surface heat flow.

**Method.** Applying a scaling relationship between the undulation of a gravimetric CMB, inverted from a global gravity model, and bulk heat production is a straightforward operation that can already provide a useful estimate, albeit characterised by large uncertainties: up to 30 mW/ $m^2$  of interquartile range for a 45 km thick crust (Pastorutti and Braitenberg, 2017). From this approach, we can get insights on the entity of the crustal component of the surface heat flow. It is useful in partitioning different thermal regimes, thus helping the interpolation of existing heat flow measures and their downward continuation, attenuating the effect of incorrect extension of local contributions at large distances (i.e. separating the component due to upper crustal emplacements from the signal due to the variation in heat flow from the mantle).

We analyse the heat flow prediction with a set of synthetic tests, for which we developed a versatile framework for joint gravity and temperature modelling.

The thermal forward modelling part is based on a 3D finite-difference forward modelling solver, on rectangular domains, with non-homogeneous heat production and conductivity, which was written for this purpose. It solves the steady state diffusion equation in the form, where k is the thermal conductivity, A is the heat production per unit of volume, and x is the position vector. The temperature and pressure dependence of thermal conductivity is taken into account, iteratively, using the simple relationships of Chapman (1986) and Schatz and Simmons (1972) for the crystalline crust and lithospheric mantle, respectively. The gravity forward modelling is done with a prism based algorithm, while the inverse modelling relies on an iterative constrained inversion routine (Braitenberg *et al.*, 2007).

The domain-box is designed to represent a portion of the lithosphere, under a flat-Earth approximation, with a flat top and bottom boundary. The top is fixed at T(0), the surface temperature; the bottom is a flat surface in the upper asthenosphere, it can be alternatively set as a temperature or heat flow boundary condition, which can be iteratively varied to obtain the required LAB. The sediment thickness from the top boundary to the crystalline basement is considered to be known and its gravimetric effect is forward modelled and stripped.

**Results.** We devised the above configuration –which allows the fast prototyping of models with any parameter distribution– to evaluate the joint temperature-gravity effect of different layered geometries and of disturbing bodies in a reference lithosphere; to quantify the required instrumental sensitivity (i.e. the detectability in the measured gravity gradient at orbital altitude); and to test the suitability and effect of the fundamental assumptions. The issue we enquired here regards this last aspect: we assume a relationship between a gravimetric crustal thickness and crustal heat production –to what extent is this adequate to predict the surface heat flow? We revisit the traditional linear model (Jaupart, 1983; Nielsen, 1987) by including the uncertainty due to inverting the effect of the crustal inhomogeneities to a single CMB.

Our analysis shows how concurring effects result in complex phenomena, even in these simplified synthetic conditions. Given a certain a constant lithospheric thickness, an increase in the crustal (radiogenic) heat flow component (due to a thicker or more enriched crust)



Fig. 1 - Crustal thickening under ideal conditions: a standard crustal column (Wedepohl, 1995) is scaled from 40 km to 50 km, with a smooth lateral transition occurring over 150 km. Top left: the model section (SED: sediments, UCC: upper continental crust, LCC: lower continental crust, SCL: sub-continental lithospheric mantle). Top right: the depth-wise distribution of radioactive heat production (*A*), thermal conductivity (*k*) and temperature (*T*). The green and red lines refer to two crustal columns, far from the lateral transition (see markers on the section), while the blue line represent the temperature difference between the two. Bottom left: gravity anomaly against the reference crust at the green marker, surface heat flow Q(SFC), basal heat flow Q(CMB). Bottom right: crustal thickness and surface heat flow. Linear relationships: a) true condition for the 40 km crust; b) true condition for the 50 km crust; c) result of fitting with data at the two markers; d) result of fitting in the transition zone. Fit parameters: see Tab. 1.

results in a decrease in the sub-crustal heat flow, while a constant sub-crustal heat flow requires thermally thinner (i.e. warmer) lithosphere. This process is observed even when the temperature dependence of thermal conductivity -an inverse relationship- is not accounted for. We can also observe the distortion of the surface heat flow footprints produced by heat sources at different depths caused by lateral and vertical inhomogeneities in thermal conductivity and the thermal refraction phenomena involved.

The known limitations of the linear model, even in an ideal case, are shown in Fig. 1, which is an example output for a 2D section. Line a and b are the true relationships for a constant 40 and 50 km crust, respectively: by imposing a constant lithospheric thickness, (the intercept)

ID	description	slope [10⁻⁵ W/m³]	intercept [10 <sup>-3</sup> W/m <sup>2</sup> ]
а	40 km crust, true relationship	1.13	15.82
b	50 km crust, true relationship	1.13	12.29
с	using Q(SFC) for 40 and 50 km crust, apparent rel.	0.79	29.39
d	using <i>Q(CMB)</i> in the transition zone, apparent rel.	0.59	38.42

Tab. 1 - Fit parameters of the linear relationships of Fig. 1.

decreases for a thicker crust, while the slope is the same, which is consistent with their identical composition (for values see Tab. 1).

The apparent relationships we obtain by fitting the surface heat flow values at the two markers (undisturbed by the transition, line c) or along the transition zone (line d) underestimate the crustal production and overestimate .

We also test the effect of inversion to an apparent gravimetric CMB of the gravity anomaly of a sill-like disturbing body (80x2 km), more radioactive (+2  $\mu$ W/m<sup>3</sup>) and buoyant in respect to the reference crust (-200 kg/m<sup>3</sup>). Using the apparent CMB for a thermal forward modelling skews the surface heat flow prediction up to 4 mW/m<sup>2</sup> residuals: a small quantity compared with the uncertainties involved, but enough to significantly alter the fit of a linear relationship (errors of up to -0,76  $\mu$ W/m<sup>3</sup> in average heat production and +30 mW/m<sup>2</sup> in ).

**Acknowledgements** Work by author AP is being supported by a grant under the European Social Fund HEaD 2014/2020, through resources of Region Friuli Venezia Giulia in the form of a PhD fellowship at the University of Trieste (FSE-EUS/4).

#### References

- Braitenberg, C., Wienecke, S., Ebbing, J., Born, W., Redfield, T. (2007). Joint Gravity and Isostatic Analysis for Basement Studies – ANovel Tool. EGM 2007 International Workshop, Innovation in EM, Grav and Mag Methods: A New Perspective for Exploration, 16–18.
- Chapman, D. S. (1986). Thermal gradients in the continental crust. *Geological Society, London, Special Publications*, 24(1), 63–70. DOI:10.1144/GSL.SP.1986.024.01.07
- Huang, Y., Chubakov, V., Mantovani, F., Rudnick, R. L., McDonough, W. F. (2013). A reference Earth model for the heat-producing elements and associated geoneutrino flux. *Geochemistry, Geophysics, Geosystems*, 14(6), 2003– 2029. DOI:10.1002/ggge.20129
- Freymark, J., Sippel, J., Scheck-Wenderoth, M., Bär, K., Stiller, M., Kracht, M., & Fritsche, J. G. (2015). Heterogeneous Crystalline Crust Controls the Shallow Thermal Field - A Case Study of Hessen (Germany). *Energy Procedia*, 76, 331–340. DOI:10.1016/j.egypro.2015.07.837
- Fullea, J., Afonso, J. C., Connolly, J. A. D., Fernàndez, M., Garcia-Castellanos, D., Zeyen, H. (2009). LitMod3D: An interactive 3-D software to model the thermal, compositional, density, seismological, and rheological structure of the lithosphere and sublithospheric upper mantle. *Geochemistry, Geophysics, Geosystems*, 10(8), 1–21. DOI:10.1029/2009GC002391
- Jaupart, C. (1983). Horizontal heat transfer due to radioactivity contrasts: causes and consequences of the linear heat flow relation. *Geophysical Journal International*, 75(2), 411–435. DOI:10.1111/j.1365-246X.1983.tb01934.x
- Jaupart, C., Mareschal, J.-C. (2011). *Heat Generation and Transport in the Earth.* Cambridge University Press. ISBN:9781139493628
- Jokinen, J., Kukkonen, I. T. (1999). Random modelling of the lithospheric thermal regime: Forward simulations applied in uncertainty analysis. *Tectonophysics*, 306(3–4), 277–292. DOI:10.1016/S0040-1951(99)00061-X
- Lachenbruch, A. H. (1970). Crustal temperature and heat production: Implications of the linear heat-flow relation. Journal of Geophysical Research, 75(17), 3291. DOI:10.1029/JB075i017p03291
- Lee, W. H. K., Uyeda, S. (1965). Review of heat flow data. In *Terrestrial heat flow* (Vol. 8, pp. 87–190). American Geophysical Union. DOI:10.1029/GM008p0087

Nielsen, S. B. (1987). Steady state heat flow in a random medium and the linear heat flow-heat production relationship. *Geophysical Research Letters*, 14(3), 318–321. DOI:10.1029/GL014i003p00318

- Pastorutti, A., Braitenberg, C. (2017). Geothermal estimates from GOCE data alone: assessment of feasibility and first results. In EGU General Assembly Conference Abstracts (Vol. 19, EGU2017-15930-2).
- Rudnick, R. L., McDonough, W. F., O'Connell, R. J. (1998). Thermal structure, thickness and composition of continental lithosphere. *Chemical Geology*, 145(3–4), 395–411. DOI:10.1016/S0009-2541(97)00151-4

- Schatz, J. F., Simmons, G. (1972). Thermal conductivity of Earth materials at high temperatures. Journal of Geophysical Research, 77(35), 6966–6983. DOI:10.1029/JB077i035p06966
- Srivastava, K., Singh, R. N. (1998). A model for temperature variations in sedimentary basins due to random radiogenic heat sources. *Geophysical Journal International*, 135(3), 727–730. DOI:10.1046/j.1365-246X.1998.00693.x
- Vilà, M., Fernàndez, M., Jiménez-Munt, I. (2010). Radiogenic heat production variability of some common lithological groups and its significance to lithospheric thermal modeling. *Tectonophysics*, 490(3–4), 152–164. DOI:10.1016/ j.tecto.2010.05.003
- Wedepohl, K. H. (1995). The composition of the continental crust. Geochimica et Cosmochimica Acta, 59(7), 1217– 1232. DOI:10.1016/0016-7037(95)00038-2

## AN INNOVATIVE METHOD TO ATTENUATE GENETIC DRIFT IN GENETIC ALGORITHM OPTIMIZATIONS: APPLICATIONS TO ANALYTIC OBJECTIVE FUNCTIONS AND RESIDUAL STATICS CORRECTION

S. Pierini, M. Aleardi, A. Mazzotti

Earth Sciences Department, University of Pisa, Italy

Introduction. The solution of geophysical non-linear inverse problems presents several challenges mainly related to convergence and computational cost. In addition, the performances of local optimization algorithms are strongly dependent on the initial model definition. For this reason, global optimization is often preferred in case of model spaces with complex topology (i.e. many local minima, small gradient of the objective function in a neighbourhood of the global minimum). Genetic Algorithms (GAs) (Holland, 1975) are a class of global optimization methods that have been proven very effective in solving geophysical optimization problems (Sajeva et al., 2016). In GA terminology, an individual, or chromosome, is a solution in the model space, whereas a population represents a set of individuals (i.e. an ensemble of possible solutions). A very simple GA flow starts with the generation of a random population of individuals over which the fitness function (namely the goodness of each solution) is evaluated. The fitness value stochastically contributes to the selection of the best individuals for the reproduction step in which a set of new solutions (offspring) is generated by combinations of parent individuals. The offspring are mutated, the fitness is evaluated, then a new generation is created by replacing some of the parent individuals with the generated offspring. The algorithm iterates until convergence conditions are satisfied. By assuming a population containing an infinite number of individuals, the convergence of the algorithm to the global minimum is guaranteed by the Holland theorem (Holland, 1975). For finite populations, the convergence of GAs is not guaranteed: this characteristic is often called "genetic drift". A more heuristic description of the genetic drift phenomenon can be given in terms of population behaviour: after some generations, the chromosomes tend to converge in a convex neighbourhood of a minimum of the objective function (not necessary the global minimum), and thus the exploration of other promising portions of the model space is prevented. In the worst case, the population cannot escape from such convex neighbourhood, and a non-optimal solution is provided. Over the last decades, many strategies have been proposed to attenuate the genetic drift effect (Eldos, 2008; Aleardi and Mazzotti, 2017). For example, a possible approach is the so called niched genetic algorithm (NGA), in which the initial random population is divided into multiple subpopulations that are subjected to separate selection and evolution processes.

In this work, we propose an innovative method to attenuate the genetic drift effect that we call "drift avoidance genetic algorithm" (DAGA). The implemented method combines some principles of NGAs and Monte-Carlo algorithm (MCA) with the aim to increase the exploration of the model space and to avoid premature convergence and/or entrapment into local minima.